

(Use as many sheets as necessary)

Sheet	1	of	16
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Complete if Known


Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

U. S. PATENT DOCUMENTS

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
RM		US- 5931947	08.03.1999	Burns et al.	
		US- 5918074	06.29.1999	Wright et al.	
		US- 5872783	02.16.1999	Chin	
		US- 5905725	05.18.1999	Sindhu et al.	
		US- 6260155	07.10.2001	Dellacona	
		US- 6160819	12.12.2000	Partridge et al.	
		US- 6266.705	07.24.2001	Ullum et al	
		US- 6263445	07.17.2001	Blumenau	
		US- 6157955	12.05.2000	Nerad et al.	
		US- 6252878	06.26.2001	Locklear, Jr. et al.	
		US- 6292827	09.18.2001	Raz	
		US- 6351775	02.26.2002	Yu	
V RM		US- 6091720	07.18.2000	Bedard et al.	
		US- 6078943	06.20.2000	Yu	
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

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STATEMENT BY APPLICANT**

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Sheet 3 of 16**Complete if Known**

Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

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		Number-Kind Code ² (if known)			
PP ↓ V		US- 5453979	09.26.1995	Schibler et al.	
		US- 5850395	12.15.1998	Hauser et al.	
		US- 5974463	10.26.1999	Warrier et al.	
		US- 6253193	06.26.2001	Ginter et al.	
		US- 6259699	07.10.2001	Opalka et al.	
		US- 6157649	12.05.2000	Peirce et al.	
		US- 5754791	05.19.1998	Dahlgren et al.	
		US- 5566170	10.15.1996	Bakke et al.	
PP		US-			
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FOREIGN PATENT DOCUMENTS						
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		Application Number	10/020,554		
		Filing Date	12/3/2001		
		First Named Inventor	Pham, Duc		
		Art Unit	2134		
		Examiner Name	Poltorak, P		
Sheet	3	of	16	Attorney Docket Number	AESN3006

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PP		IBM, IBM Network Processor (IBM32NPR161EPXCAC133) Product Overview, Published 10/04/1999	
		BROADCOM, BCM5820 E-Commerce Product Brief, 5820-PB00-R-3.26.01, Published 2001	
		BROADCOM, BCM5840 Gigabit Security Processor Product Brief, 5840-PB00-R-12.6.00, Published 2000	
		IBM, The Network Processor - Enabling Technology for High-Performance Networking, Published 8-99	
		IBM, Packet Routing Switch PRS28G Product Sheet, Undated.	
		IBM, Packet Routing Switch PRS28G, Version 1.7 Datasheet, prs28.04.fm, February 6, 2001	
V		IBM, IBM PowerNP™ NP4GS3 Network Processor Preliminary Datasheet, May 18, 2001	
PP		IBM, Product Overview, IBM PowerNP NP4GS3, Network Processor Solutions, April 16, 2001	

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First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

Sheet	4	of	16
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		First Named Inventor	Pham, Duc
		Art Unit	2134
		Examiner Name	Poltorak, P
Sheet 5	of 16	Attorney Docket Number AESN3006	

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pp		ALAN RADDING, Storage ROI: Truth & Fiction, Infoworld Custom Meda Group, 2001	
I		IETF, IP STORAGE WORKING GROUP, iSCSI Requirements and Design Considerations, Document: draft-ietf-ips-iscsi-reqmts-05.txt, July 2001	
V		IETF, IP Security Working Group, Security Properties of the IPsec Protocol Suite, Document draft-krywaniuk-ipsec-properties-00.txt, July 9, 2001	
pp		IETF, iSCSI, Document draft-ietf-ips-iscsi-08.txt, 30-Sep-01	

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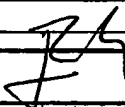
Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc et al
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
PP		US- 6038668	03-2000	Chipman et al.	
		US- 6529950	03-2003	Lumelsky et al.	
A		US- 6199077	03-2001	Inalla et al.	
		US- 6594763	07-2003	Madoukh	
V		US- 6330677	12-2001	Madoukh	
		US- 6477644	11-2002	Turunen	
PP		US- 6542992	04-2003	Peirce et al.	
		US- 6560217	05-2003	Peirce et al.	
		US- 6845395	01-2005	Blumenau et al.	
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Sheet 7 of 16

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Application Number	10/020,554
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Art Unit	2134
Examiner Name	Poltorak, P
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Sheet 18	of 16	Attorney Docket Number	AESN3006

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pp		YOSHIDA et al., Virtualization — The Promise and the Reality, Sun Microsystems White Paper, 2001	
		Real-Time Mechanism for Accounting and Network Access Control, IBM Technical Disclosure Bulletin, April 1994, US, Vol 37, Issue 4A, pg 337-340, April 1, 1994	

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✓		US- 5,822,531	10-13-1998	Gorczyca et al.	
		US- 6,006,259	12-21-1999	Adelman et al.	
		US- 6,470,389	10-22-2002	Chung et al.	
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		US- 6,327,622	12-04-2001	Jindal et al.	
		US- 6,438,652	08-20-2002	Jordan et al.	
		US- 6,424,621	07-23-2002	Ramaswamy et al.	
		US- 6,173,306	01-09-2001	Raz et al.	
		US- 6,272,522	08-07-2001	Lin et al.	
		US- 6,505,254	01-07-2003	Johnson et al.	

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
Y P		US- 6,185,681	02-2001	Zizzi, Stephen	
		US- 5,584,023	12-1996	Hsu, Mike	
		US- 5,596,718	01-1997	Boebert et al.	
		US- 5,850,446	12-1998	Berger et al.	
		US- 6,286,104	09-2001	Buhle et al.	
		US- 6,377,577	04-2002	Bechtolsheim et al.	
		US- 6,378,072	04-2002	Collins et al.	
		US- 6,249,866	06-2001	Brundrett et al.	
		US- 6,647,400	11-2003	Moran, Douglas	
		US- 6,665,666	12-2003	Brown et al.	
		US- 6,671,773	12-2003	Kazar et al.	
		US- 2002/0091734	07-2002	Redlich et al.	
		US- 2003/0046366	03-2003	Pardikar et al.	
		US- 2003/0056095	03-2003	Elliott et al.	
		US- 2003/0112977	06-2003	Ray et al.	
		US- 2003/0196114	10-2003	Brew et al.	
		US-			
		US-			
		US-			

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Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
✓		WO 9900958	01-1999	LEVERIDGE et al		
		JP 08272667	10-1996	NAKAMURA, Y.		
P/P						

Examiner Signature		Date Considered	7/31/06
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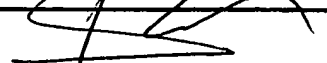
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/020,554		
		Filing Date	12/3/2001		
		First Named Inventor	Pham, Duc		
		Art Unit	2134		
		Examiner Name	Poltorak, P		
Sheet	11	of	16	Attorney Docket Number	AESN3006

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PP		BERTINO et al., Advanced Transaction Processing in Multilevel Secure File Stores, 1998 IEEE	
I		CATTANEO et al., The Design and Implementation of Transparent Cryptographic Filesystems for UNIX, June 2001	
✓		LUDWIG et al., File System encryption with Integrated User Management, October 2001 ACM	
PO		O'CONNELL et al., JFS: A Secure Distributed File System for Network Computers, 1999	

Examiner Signature		Date Considered	7/31/06
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet 12 of 16

Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Date Considered

7/31/06

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete If Known			
		Application Number	10/020,554		
		Filing Date	12/3/2001		
		First Named Inventor	Pham, Duc		
		Art Unit	2134		
		Examiner Name	Poltorak, P		
Sheet	13	of	16	Attorney Docket Number	AESN3006

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
PP		US- 6,202,157	03-13-2001	Brownlie et al.	
		US- 6,219,790	04-17-2001	Lloyd et al.	
		US- 6,246,771	06-12-2001	Stanton et al.	
		US- 6,253,321	06-26-2001	Nikander et al.	
		US- 6,282,652	08-28-2001	Scheifler	
		US- 6,158,011	12-05-2000	Chen et al.	
		US- 6,286,104	09-04-2001	Buhle et al.	
		US- 6,304,973	10-16-2001	Williams	
		US- 6,438,612	08-20-2002	Ylonen et al.	
		US- 6,354,886	03-05-2002	Howard et al.	
		US- 6,499,110	12-24-2002	Moses et al.	
		US- 6,496,932	12-17-2002	Trieger	
		US- 6,571,287	05-27-2003	Knight et al.	
		US- 6,584,508	06-24-2003	Epstein et al.	
		US- 6,502,192	12-31-2002	Nguyen	
		US- 6,505,300	01-07-2003	Chan et al.	
		US- 6,519,636	02-11-2003	Engel et al.	
		US- 6,539,483	03-25-2003	Harrison et al.	
		US-			

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
PP		WO 02/103498	12-27-2002	Gladstone		
		WO 01/37095	05-25-2001	Hollander		

Examiner Signature		Date Considered	7/24/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 14 of 16

Complete if Known

Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

U. S. PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
PR		US- 5,321,841	06-14-1994	East et al.	
		US- 5,440,635	08-08-1995	Bellovin et al.	
V PR		US- 5,349,642	09-20-1994	Kingdon	
		US- 4,503,287	03-05-1985	Morris et al.	
		US- 5,586,260	12-17-1996	Hu	
		US- 4,423,287	12-27-1983	Zeidler	
		US- 5,550,984	08-27-1996	Gelb	
		US- 5,506,961	04-09-1996	Carlson et al.	
		US- 4,649,233	03-10-19987	Bass et al.	
		US- 5,412,717	05-02-1995	Fischer	
		US- 5,701,343	12-23-1997	Takashima et al.	
		US- 5,638,448	06-10-1997	Nguyen	
		US- 5,682,478	10-28-1997	Watson et al.	
		US- 5,657,390	08-12-1997	Elgamal et al.	
		US- 5,602,918	02-11-1997	Chen et al.	
		US- 5,649,099	07-15-1997	Theimer et al.	
	V		US- 5,790,800	08-04-1998	Gauvin et al.
PR		US- 5,680,461	10-21-1997	McManis	
		US- 5,784,463	07-21-1998	Chen et al.	

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2/31/06

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/020,554
		Filing Date	12/3/2001
		First Named Inventor	Pham, Duc
		Art Unit	2134
		Examiner Name	Poltorak, P
Sheet <u>15</u> of <u>16</u>	Attorney Docket Number	AESN3006	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
PP		US- 6,052,785	04-18-2000	Lin et al.	
		US- 5,941,947	08-24-1999	Brown et al.	
I		US- 5,825,890	10-20-1998	Elgamal et al.	
		US- 6,104,716	08-15-2000	CCrichton et al.	
I		US- 5,922,073	07-13-1999	Shimada	
		US- 6,061,796	05-09-2000	Chen et al.	
V		US- 6,084,969	07-04-2000	Wright et al.	
		US- 2001/0016907	08-23-2001	Kang et al.	
PP		US- 2002/1094495	12-19-2002	Gladstone et al.	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	7/31/06
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STATEMENT BY APPLICANT**

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Application Number	10/020,554
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2134
Examiner Name	Poltorak, P
Attorney Docket Number	AESN3006

Sheet 16 of 16**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
PP		US- 5235641	08.10.1993	Nozawa et al.	
		US- 5065429	11.12.1991	Lang	
		US- 6175924	01.16.2001	Arnold	
		US- 5235642	08.10.1993	Wobber et al.	
		US- 6185684	02.06.2001	Pravetz et al.	
		US- 4588991	05.13.1986	Atalla	
		US- 5720034	02.17.1998	Case	
		US- 5940507	08.17.1999	Cane et al.	
		US- 5150407	09.22.1992	Chan	
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FOREIGN PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
PP		WO 02/093389	11.21.2002	Avida		
		WO 02/093314	11.21.2002	Avida		

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